New, Simple Blade-Pitch Control Mechanism for Small-Size, Horizontal-Axis Wind Turbines

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Abstract: In the present research work, the pitch-control is carried out such that the rotor blades are rotated around their longitudinal axis while the rotor continues its normal rotation. It is really a challenge to produce a clever design to pitch the rotor blades by the optimal amount so as to maximize the power output at all wind speeds. The mechanism is implemented to a three-blade, horizontal-axis, home-scale wind turbine. The mechanism is powered by a suitable DC (direct-current) motor. The tests were carried out in the open section of a delivery wind tunnel. The air speed was measured by a suitable anemometer. The corresponding rotational speed (rpm) and output voltage at different wind speeds were measured and recorded for calibration of the control system. The mechanism proved to be successful in controlling the pitch angle over a wide range of wind speeds.

Key words: Blade-pitch control, wind turbine, home-scale, control mechanism, microcontroller.

1. Introduction

Nowadays, the renewable energy sources constitute a fairly good portion of the total energy sources all over the world. This portion is growing rapidly due to many reasons. One of these reasons is the expected end of fossil energy sources in the near future. Environmental pollution, which reaches unacceptable levels, is another reason for the increasing global interest in renewable energy sources. Wind, solar, marine wave, tidal, geothermal energies are examples of the available renewable energy sources. Wind energy is the fastest-growing renewable energy source in the world. About five years ago, the global installed capacity exceeded 50,000 MW, with a growth of about 10,000 MW/year [1]. Concerning the Middle East, there is a big potential for wind power-generation. The mountainous nature of many parts provides continuous airstreams with a suitable range of air speed for commercial purposes.

Also, there are very long distances of coastal lines along the Mediterranean and Red seas that are suitable for wind power-generation. Even in other areas, where wind speeds are not enough to construct large-scale wind turbines, small-scale (home-scale) turbines may be used.

There are few different techniques to maximize the power output and optimize turbine performance at all wind speeds. These techniques include blade pitch-control, camber control with flaps and generator torque control. Blade pitch-control is the system which monitors and adjusts the inclination angle of the blades and thus controls the rotational speed of the blades. At lower wind speeds, the pitching system leads to an acceleration of the hub rotational speed, while at higher speeds; blade pitch-control reduces the wind load on the blades and structure of the turbine and keeps the generator at the rated power. Such a method is currently used by turbines in the 500 kW to 1 MW range. Traditionally, there are different types of pitch-control systems including hydraulic, mechanical, electrical and electro-mechanical systems. About one third of the installed wind machines use pitch-control...
mechanisms [2].

In the present investigation, the pitch control is carried out such that the rotor blades are rotated around their longitudinal axis while the rotor continues its normal rotation. The main objective is to develop a suitable simple, low-cost and robust control mechanism. The present mechanism is consistent with the current trend in shifting towards the electro-mechanical systems due to their known reliability and low maintenance needs. The mechanism is implemented to a three-blade model. The section of the blades that were molded from fiberglass resembles a standard airfoil section. The mechanism is powered by a suitable electric motor. The model tests were carried out in the open section of a delivery wind tunnel. An electronic circuit of a microcontroller was used to control the movement of the control mechanism. In the following sections, concentration is much paid to the control mechanism more than the control circuit.

Many researchers concerned the problem of active control of wind turbines of all sizes and types. In the following section, some of these researches are illustrated to show a broader view of the control issue of the wind turbines.

2. Previous Investigations

2.1 General Control Problem

The control subject in general was studied by many investigators. Johnson [3] developed, tested and analyzed an adaptive control strategy. He argued that the cost of changing the control strategy on an existing turbine is very small when compared with the cost of the turbine. Ragheb [2] carried out a survey of the different types of control that are applied in wind turbines. He stated that about one third of the installed wind machines use pitch-control mechanisms.

As a more specific task, a review of the objectives and techniques used in the control of horizontal axis wind turbines at the individual turbine level was carried out in Ref. [4], where controls are applied to the turbine blade pitch and generator.

2.2 Blade-Pitch Control

The blade-pitch control problem was the main subject of many researchers. Muljadi et al. [5] analyzed and simulated the operation of variable-speed wind turbines with pitch control. They showed that the wind turbine can be operated at its optimum energy capture while minimizing the load on the wind turbine for a wide range of wind speeds. Bindner [6] presented a model for design and analysis of controllers for pitch controlled wind turbine to evaluate and improve pitch controllers for conventional three bladed pitch controlled wind turbines (Vestas Wind Systems WD34 400 kW).

The speed control of a modern pitch-regulated wind turbine was investigated [7]. A controller structure was derived and the significance of various parameters in the controller structure was investigated. Thus, the influence of the speed control bandwidth on the speed variations, torque stresses and energy production was analyzed. Hansen et al. [8] presented three different controller designs based on PI (proportional-integral) regulation of rotor speed and power through the collective blade pitch angle and generator moment. They illustrated that numerical optimization can be used to tune controller parameters. In Ref. [1], the author developed a method of achieving variable speed operation of wind turbines via a pitch servo-mechanism. She considered three types of pitch actuator dynamics and integrated them into control design. She concluded that since the actuator dynamics were considered in her design, the developed pitch control algorithms were less sensitive to operating points and more practical and suitable for real-time implementation.

2.3 Blade-Pitch Control by Matlab Simulink Toolbox

Matlab Simulink toolbox was used to simulate blade-pitch control. In Ref. [9], the researcher aimed to design a simple controller to maximize the extracted energy of wind turbines through pitch angle control of a variable speed wind turbine using Matlab Simulink.
toolbox. In the same direction, Ramakrishnan and Srivatsa [10] described the modeling of the various components in a pitch controlled wind energy system and the design of the pitch controller, and discussed the response of the pitch-controlled system to wind velocity variations. They found that the cost of their mechanism is low compared to a corresponding hydraulic actuator mechanism and can be used up to 30 kW wind turbine.

2.4 IPC (Individual Pitch Control)

The IPC technique was examined by Bossanyi [11] who discussed the possibility of using the individual pitch actuators for each blade by sending different pitch angle demands to each blade. He demonstrated that a very significant reduction in operational loading can be achieved by applying the considered individual pitch control. Afterwards, in Ref. [12], the investigator pointed out that the up-scaling of wind turbines, even towards 10 MW wind turbines, may be necessary to lower the costs of offshore wind energy and this requires considerable reductions of turbine loads. As a continuing effort, Selvam et al. [13] focused on the problem of wind turbine fatigue load reduction by means of IPC. Their results demonstrated very good load reduction at a wide range of frequencies, giving rise to fatigue load reduction of the non-rotating turbine components.

2.5 Fuzzy-Logic Controller

Some of the investigations were related to fuzzy-logic controllers of the blade-pitch. In Ref. [14], the authors developed a fuzzy-logic pitch-angle controller. They explained that the fuzzy-logic control technique has the potential over other techniques (e.g., PI controller) when the system contains strong non-linearity. Recently, Musyafa et al. [15] built and demonstrated a wind turbine prototype with a pitch-angle control based on fuzzy logic to maximize the output power. They found out that, in the varying low-rated wind speed of 4-6 m/s, the use of fuzzy logic controller can maximize the average output power of 14.5 W compared to 14.0 W at a fixed pitch angle of the blade for 1-m diameter, three-blade wind turbine.

2.6 VAWT (Blade Pitch-Control of Vertical-Axis Wind Turbines)

Although the present work concentrates on HAWT (horizontal-axis wind turbines), it is beneficial to show that investigators concerned the blade pitch-control technique for the VAWT (vertical-axis wind turbines) too. Lazauskas [16] compared the theoretical performance of three variable pitch mechanisms for VAWT. He stated that the examined pitch control systems can all be configured to produce better starting torque, a broader operating range, and greater efficiency than fixed pitch VAWT. In Ref. [17], investigators simulated a dynamical-system model and a control algorithm to enhance the efficiency of a small, VAWT. They stated that applying their pitch control model leads to large improvements in the amount of power extracted from the turbine, thus, highly increasing its overall efficiency. Paraschivoiu et al. [18] proposed a procedure for computing the optimal variation of the blades’ pitch angle of an H-Darrieus wind turbine that maximizes its torque at given operational conditions for a 7 kW prototype. They stated that a gain of almost 30% in the annual energy production was obtained with the polynomial optimal pitch control.

3. Home-Scale Wind Turbines

There is an increasing interest in the development and construction of home-scale wind turbines. There are many advantages of this type of turbines that encourage the utilization of them. These advantages include low cost, easy manufacturing and maintenance as well as durability. Usually, they produce power from 200 W to 1,000 W and work at low wind speeds; starting from 5 m/s. Their size ranges between 0.4 m to 2.0 m. These turbines may be used individually or in groups either on top of buildings or in the backyards of
residential or commercial complexes. The home-scale wind turbines are useful for both urban and suburban areas. Fig. 1 shows photos of some commercial home-scale HAWT. These turbines may have the traditional shape of wind turbines with simple wind direction guide, Fig. 1a. Other turbines may take unusual aerodynamic shapes to improve their aerodynamic performance and increase their output power, Figs. 1b and 1c. As can be seen in Fig. 1c, the turbines may be installed using long towers on top of the houses or in the backyards. There is also a commercial utilization of home-scale VAWT. Fig. 2 shows photos of a type of commercial home-scale vertical-axis wind turbines in different urban and suburban areas. As can be seen in Fig. 2, the turbine may be placed in various locations in spite of their architecture complexity.

4. Blade-Pitch Control

The importance of the blade-pitch control can be best understood when comparing with the stall control mechanism by considering the description of Ref. [22], as: (1) Stall control: It is characterized by fixed blade pitch, and passive power control by stall effect. Wind speed is the control parameter, Fig. 3; (2) Pitch control: Active power control is activated by wind turbine control unit. The control parameters are power output, wind speed and rotor speed, Fig. 4.

5. Present Blade-Pitch Control Mechanism

The main idea of the present pitch control mechanism is to change the blade-pitch angle during the operation of the turbine. A simple mechanism was developed to carry out this job and implemented on a turbine model. Fig. 5 shows the main components of the control mechanism, which is integrated with the turbine shaft and nacelle frame.

The main components of the mechanism can be listed, as numbered in Fig. 5, as follows:

1—Nose: It enhances the aerodynamic performance over the turbine body and prevents stagnation at the turbine head. The nose has a parabolic profile and was fabricated from wood, Fig. 6. It is fixed to the hub through fixation grooves in the rotating power disk (2). A guide hole presents in the nose for correct alignment of the main shaft (7).

2—Rotating power disk: It is made of steel, Fig. 7. It transfers the power from the hub to the main shaft (7). It is fixed to the hub by three small threaded bolts and fits into the prepared grooves in the rear of the nose (1),...
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Fig. 3  Blade stall control [22].

(a) Stand still           (b) Start, slow speed
(c) Power generation       (d) Increasing wind
(e) Power limitation (stall)       (f) Stall effect

Fig. 4  Blade-pitch control [22].

(a) Stand still           (b) Start of operation
(c) Power generation       (d) Power generation- continue
(e) Power limitation

Fig. 5 Main components of the control mechanism in integration with the turbine shaft and nacelle frame.

Fig. 6  Turbine nose.

(a) Drawing (Dim. in mm)     (b) Photo

Fig. 7  Rotating power disk (Dim. in mm).

blade angle. The blade arm, Fig. 8, is an accessory piece that transforms the translational motion of the pulley to a rotational motion at the upper part of the piece by a torsional spring. The translational motion is applied to the pulley by the rotating control disk (8). The turbine blade is fixed at this upper part. Blade arms transfer the power from the blades to the hub (5).

4—Blades: The turbine model is equipped with three tapered blades. The profile of the section of the blade is NREL S822 of National Renewable Energy Laboratory, Refs. [23, 24], Fig. 9. The blades were made of fiberglass to save weight. Each blade is tapered with the following dimensions: Blade length = 150 mm,
Blade chord at blade base (turbine hub) = 80 mm.
Blade chord at blade tip = 38 mm.

5—Hub: It contains the rotating power disk (2) and the blade arms (3), Fig. 10. It is made of steel. The hub is connected to the main shaft (7) through the rotating power disk (2). The hub has the following dimensions:
Hub length = 70 mm; Hub inner diameter = 82 mm;
Hub thickness = 4 mm.

6—Fixation pieces: There are two pieces, Fig. 11, to fix the rotating control disk (8) into place in the hub (5). They are made of steel and inserted firmly into their places. These two fixation pieces allow axial movement (sliding) of the rotating control disk.

7—Main shaft: The main shaft is made of steel covered with chrome, Fig. 12. Thus, it is smooth with good surface finish. It connects the rotating power disk (2) to the generator through gear/pulley arrangement (12). It rotates inside the rack tube (9). The main shaft has the following dimensions:
Shaft length = 272 mm; Shaft diameter = 12 mm.

8—Rotating control disk: It is made of steel, Fig. 13. It pushes the three blade arms (3) simultaneously to change the pitch angle of the three turbine blades (4). It is connected to the rack tube (9) by a ball bearing that allows the rotating control disk to rotate with the hub (5) and moves axially in the same time. It is connected to the hub (5) through two fixation pieces (6) that allow the axial movement (sliding) of the rotating control disk.

9—Rack tube: It is made of steel, Fig. 14a. It is a concentric tube that contains the main shaft (7) and is connected to the rotating control disk (8) through a ball bearing. The tube is equipped with linear gear teeth that engage with the teeth of a pinion. This pinion is fixed to the shaft of a DC motor (13), Fig. 14b. The calibrated rotation of the motor is transferred as a linear motion of the rack tube, which in turn, pushes the rotating control disk (8) against the blade arms (3). The torsional springs of the blade arms transforms the motion into a
rotation of the blades (4) to be set at the required pitch angle. Fig. 15 shows a drawing (Fig. 15a) and a photo (Fig. 15b) of the assembly of rotating control disk (8) and rack tube (9).

10—Connection part: It connects the hub (5) to the nacelle frame (11), Fig. 16. It consists of a bearing whose outer race is connected to the hub (5) and its inner race is fixed to a stationary steel sleeve that is connected to the nacelle frame (11).

11—Nacelle frame: It is made of steel parts, Fig. 17, that were welded together to carry the nacelle cover, which was made of thin steel sheets. The nacelle contains the blade-bitch control mechanism. It also completes the aerodynamic shape of the turbine by direct connection to the hub (5) through the connection part (10). A ball bearing was fixed at a rear ring to hold the main shaft (7).

12—Gear or pulley arrangement: A gear or pulley arrangement, Fig. 18, is to be fixed at the far end of the main shaft (7). Then, the power is transferred to the electrical generator via the gear arrangement or a pulley-belt arrangement.

6. Assembly of Present Wind Turbine Model

Fig. 19 shows two overall views of the wind turbine assembly with the control mechanism. All mentioned parts in the above section are assembled together in a
sequence. Fig. 20 shows a photo of the wind turbine with tower. The wind turbine is equipped with the DC control motor (13), which moves the rack tube (9) through a pinion. The power is transferred from the main shaft pulley (12) to the electrical generator (14) through a pulley-belt arrangement. As the present investigation concentrates on the control mechanism, it was preferred to put the electrical generator outside the turbine nacelle. The generator was fixed on turbine tower as seen in Fig. 20.

Fig. 20  Photo of the wind turbine with its tower.

Fig. 21  DC motor (13) and pinion arrangement.

Fig. 22  Assembly of the blade arms (3).

Fig. 23  Assembly of the control mechanism.

7. Operation of Pitch-Control Mechanism

7.1 DC Motor

To carry out the control process, firstly, a suitable DC motor was chosen (13). DC motor has many favorable features that are beneficial to control process, namely: self-engange, relatively high-torque, low rotational speed and start-up voltage (5-12 V).

The rotational speed (rpm) of the motor is adjusted by changing the input voltage. Moreover, the rotating direction of the motor can be switched from CW (clockwise) to CCW (counter-clockwise) by changing the input current polarity. The motor is fixed directly under the nacelle on the turbine tower, Fig. 21. The rotational motion of the DC motor (13) is transferred to the rack tube (9) through a pinion, Fig. 21. The tube rack-pinion combination transfers the rotational motion of the pinion to a transitional (linear) motion by the rack tube (9). Then, the rack tube pushes the rotating control disk (8) forward or backward against the blade arms (3) that transforms the translational motion of the small pulley to a rotational motion at the upper part of the blade arm by a torsional spring, Fig. 22. The translational motion is applied to the pulley by the rotating control disk (8). Thus, the turbine blade (4) that is fixed at the upper part of the blade arm rotates to the desired pitch angle. An overall view of the assembly of the control mechanism is shown in Fig. 23 with all components in place.

7.2 Microcontroller Circuit

The DC motor (13), which is the main source of motion to the pitch control system, is adapted to be controlled by one of two methods, namely:

Automatic control: The automatic control is applied to the DC motor (13) by a microcontroller circuit. The circuit decides the value of the input voltage to the DC motor (13) and the time of operation to control the amount of the rotational displacement of the DC motor (13). Thus, the amount of blade rotation (pitching) can be precisely adjusted. Also, the circuit controls the
current polarity to change the rotational direction of the DC motor in CW and CCW directions. The microcontroller circuit consists of a microcontroller chipset, resistances, capacitors, etc.

The microcontroller is programmed according to a series of experimental measurements using a suitable wind tunnel as will be illustrated in a coming section. The parameters that were recorded and used in the programming are the wind speed \( U_o \), the turbine rotational speed \( N \), rpm, and the output voltage of the generator \( V_o \).

Manual control: A selector switch was used to control the input of the DC motor (13) manually in case of failure of the automatic control circuit. The manual control only moves the motor in the form of constant pulses. These pulses are calibrated to change the blade angle in steps of 1 degree for each pulsation. Also, change of current polarity is available.

7.3 Sequence of Operation of the Present Blade-Pitch Control Mechanism

The following steps summarize the sequence in which the present blade pitch-control mechanism works:

- According to the output voltage \( V_o \) of the generator, an electronic signal is fed to the DC motor (13) from the microcontroller of the automatic control circuit to rotate with a certain rotational angle.
- The motor shaft begins to rotate (CW/CCW to decrease/increase the blade angle) according to the current polarity signal from the microcontroller.
- Consequently, the motor pinion rotates with the same rotational angle.
- The rack tube (9) receives the rotational motion of the pinion and responds by a translation motion that is transferred in turn to the rotating control disk (8).
- To decrease the blade angle, the rotating control disk (8) moves forward and applies the necessary force on the blade arms (3) to twist their torsional springs to move the blades (4) by the required angle to adjust the blade angle.
- To increase the blade-pitch angle, the rotating control disc (8) moves backward and reduces the applied force on the blade arms. Thus, the torsional springs return partially to their original state, rotating the blades and the blade angle is increased to the required value.

8. Mechanical Design Analysis and Electrical Generator

8.1 Mechanical Design Analysis

Mechanical design analysis was carried out to make sure that the fabricated or selected mechanical parts/components of the turbine are capable of operation safely without failure under the design (rated) power of the turbine. As it is not the objective of this paper to concern mechanical design aspects of the turbine, there are no more illustrated details about this issue.

8.2 Electrical Generator

A suitable permanent magnet DC generator (14) was used to carry out the experiments of the control mechanism. This type of generators has the advantage of being so sensitive that they generate power at any rotational speed (rpm). They are compact and have a wide operating range. So, they are suitable to work in many applications. The generator was connected to the turbine shaft (7) through a pulley-belt arrangement (12). The generator outlets were connected to a DC constant-current circuit as an operating load.

9. Experimental Measurements

9.1 Programming the Microcontroller

A series of experiments was carried out using an open-section, delivery wind tunnel. The wind turbine was tested in the open section of the wind tunnel that has an exit section of 50 cm \( \times \) 50 cm. The diameter of the wind turbine is 40 cm. These experiments were necessary to program the microcontroller of the pitch control circuit. The wind speed ranged between 5 m/s
and 15 m/s with an increasing step of 1 m/s. Speed control was carried out using a moving gate at the entrance of the wind tunnel. Angle of attack (blade angle) ranged between 2° and 12° with an increasing step of 1°. Usually, the three control parameters are wind speed \((U_o)\), wind turbine rotational speed \((N, \text{ rpm})\), and the output power of the generator \((P_o)\). In our case, as the load current is constant, the output voltage \((V_o)\) is related directly to the rotational speed \((N)\) of the wind turbine. Consequently, the output voltage \((V_o)\) is directly proportional to the output power \((P_o)\) of the generator. Thus, the two control parameters of the present work are the wind speed \((U_o)\) and the generator output voltage \((V_o)\). The rotational speed \((N)\) was measured and recorded as a check parameter to the output voltage \((V_o)\). Appropriate software/hardware facility was used to record the signals and program the microcontroller. For manual observation, the air speed \((U_o)\) was measured using a Pitot-static tube. The rotational speed \((N)\) of the wind turbine was measured using a non-contact (optical) tachometer. A suitable electrical multimeter was used to record the values of the output voltage \((V_o)\).

9.2 Validation of the Present Control Mechanism and Circuit

To make sure that the present blade-pitch control mechanism works well, a validation process was carried out. The validation was based on experimental measurements of the output voltage \((V_o)\) while making small changes of the wind speed. The signal of the output voltage \((V_o)\) was directly connected to the control circuit after adaptation. Control mechanism and circuit should maintain the rating value of the output voltage \((V_r)\). Experimental conditions were arranged such that the following operating conditions are set: (1) wind turbine output power \((P_o) = 200 \text{ W}\), (2) blade angle of attack \((\alpha) = 7^\circ\), (3) rotational speed \((N) = 220 \text{ rpm}\), (4) output rating voltage \((V_r) = 12 \text{ V}\) and 24 V.

The wind speed was changed around the average wind speed \((U_o)\) of 8 m/s by closing and opening the gate of the wind tunnel by small amounts. The output voltage was recorded manually every 30 s during a period of 10 min. Figs. 24 and 25 show the results of the output voltage when applying the control circuit and mechanism.

As can be seen in Figs. 24 and 25, the control mechanism succeeded in maintaining the average value of the output voltage at the rating value in spite of the unexpected and sudden changes in the flow speed. The fluctuations are in the range of 10% of the average value. Also, the response time of the control mechanism is acceptable. It is clear that the inertia of the mechanism is not big due to the light weight of the blades that were casted from fiberglass.

Fig. 24  Instantaneous output voltage \((V_i)\) when applying the control circuit and mechanism for a rating output voltage \((V_r = 12 \text{ V})\).

Fig. 25  Instantaneous output voltage \((V_i)\) when applying the control circuit and mechanism for a rating output voltage \((V_r = 24 \text{ V})\).
10. Conclusions

The present work concerns the blade-pitch control of a home-scale horizontal-axis wind turbine. The basic idea was to introduce a simple, low-cost and robust control mechanism that is suitable for this type of wind turbines. Based on the previous discussions, it is clear that the mechanism has a good performance. The mechanism is easy to manufacture and maintain. The response-time of the mechanism is generally acceptable for this application. The using of fiberglass as the blade material helps greatly in reducing the overall mechanism inertia. The inertia may be reduced further by using materials fabricated from plastics, plastic alloys or composites. Also, a simple electronic circuit with a microcontroller was used to control the mechanism. To properly program the microcontroller, a series of experiments were carried out to record the wind speed ($U_o$) and corresponding shaft rotational speed ($N$) and generator output voltage ($V_o$). The two main control parameters of the present work is the wind speed ($U_o$) and the generator output voltage ($V_o$). The rotational speed ($N$) was measured and recorded as a check parameter to the output voltage ($V_o$). The output power may be used as the control parameter with appropriate measuring instrumentation. The control process may be further developed by using appropriate probes, transducers, data acquisition arrangements and software (e.g., fuzzy-logic) to be fully monitored and adjusted by a laptop or a personal computer. This process helps in re-programming/re-adjusting the control circuit for new/unexpected operating conditions. However, this may lead to a higher-cost mechanism. Finally, it is obvious that the proposed control mechanism is feasible for commercial large-scale production.

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References


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